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JEWINE CH.	Del WOLK I VERUCION ACT OF 1995	, no persons	Application Number	10/789		uniess it	displays a valid Owb control number.
TR	RANSMITTAL		Filing Date	Februa	ary 26, 2	2004	
	FORM		First Named Inventor	<u> </u>	el Zalips	-	al.
l			Art Unit	1615			
(to be used for	all correspondence after initial	filing)	Examiner Name	T.K. T	hurman		
Total Number of	f Pages in This Submission	9	Attorney Docket Number	ALZ50	015USR	21	
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Firm Name	ALZA Corporation						
Signature	muhael.	AHRIM	· · · · · ·				
Printed name	Michael Atkins						
Date	8/15/07			Reg. No.	35,431	l	
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I hereby certify that this correspondence is being facsimile fransmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:							
Signature	/h}	It	lune				
Typed or printed i	name Amy Alwine					Date	08/1 <b>5</b> /2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Atty. Docket No. ALZ5015USR1

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Zalipsky et al.

Serial No.:

10/789,489

Filed:

February 26, 2004

For:

METHOD TO REDUCE LIPOSOME

INDUCED COMPLEMENT ACTIVATION

Group Art Unit: 1615

Examiner: T.K. Thurman

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment

Honorable Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

		In accordance with §1.129(a), this information Disclosure Statement is being filed in connection with
the f	irst or 🗌	second After Final Submission, therefore:
		Statement in Accordance with §1.97(e) (attached); or
		Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in
		§1.17(p).
		In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set
forth in §	§1.97(b) a	above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under
§1.311,	or an act	ion that otherwise closes prosecution and that it is accompanied by one of:
		Statement in Accordance with §1.97(e) (attached); or
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		§1.17(p).
		In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing
date of e	ither a Fi	nal Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue
		hereby petition(s) for consideration of this Information Disclosure Statement. Included are:
		ordance with §1.97(e) as set forth below and the fee of <u>\$180.00</u> as set forth in §1.17(p).
		Coming of each of the reference listed on the effect of ED DTO 4440
,	Ш	Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
		Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:
		In view of the voluminous nature of references [list as appropriate], and the likelihood that
		these references are available to the Examiner, copies are not enclosed herewith.
		If any of the foregoing publications are not available to the Examiner, Applicant will endeavor
		to supply copies at the Examiner's request.
	$\boxtimes$	Copies of only foreign patent documents and non-patent literature are enclosed in accordance with
37 CFR		
	$\boxtimes$	There are no listed references which are not in the English language.
		The relevance of those listed references which are not in the English language is as follows:

	$\boxtimes$	Attached are copies of search report(s) from corresponding patent application(s), which are listed on				
the attac	the attached Submission Under MPEP 609 D.					
which ar	re listed o	Attached are the following non-published pen n the attached Submission Under MPEP 609 D	ding patent applications which may be deemed relevant  ).			
	Please o	charge any deficiency or credit any overpayme	nt to Deposit Account No. 10-0750.			
			Respectfully submitted,			
Date: _	8/1	5/07	Michael Atterno Attorney for Applicants			
c/o Joh One Jo New Br		ohnson Johnson Plaza, WH3221 NJ 08933	Mike Atkins, Reg. No.: 35,431 Tel. No.: 650-564-5887 Fax. No. 650-564-2195			
Enclose	d:					
1.	Foreign	Patent Reference AB (1 reference)				

Substitute for form 1449A/PTO

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 1 of 1

nd to a collection of information unless it displays a valid Own control number.					
Application Number	10/789,489				
Filing Date	February 26. 2004				
First Named Inventor	Zalipsky et.al.				
Group Art Unit	1615				
Examiner Name	T.K. Thurman				
Attorney Docket Number	ALZ5015USR1				

#### **U.S. PATENT DOCUMENTS**

		U.S. Patent Document			Date of Publication	Bases Calumna Lines
Examiner Initials	Cite No. <sup>1</sup>		d Code <sup>z</sup> known)	Name of Patentee or Applicant of Cited Document	of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
	AA	5,786,387		Watanabe et. al.	07/28/1998	
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#### **FOREIGN PATENT DOCUMENTS**

		Foreign	Patent Document		Name of Patentee or	Date of Publication of Cited Document mm-dd-yyyy Passages or relevating figures appear		
Examine r Initials	Cite No. <sup>1</sup>	Office <sup>3</sup>	Number <sup>4</sup> Kind	lCode⁵	Applicant of Cited Document			Τ <sup>6</sup>
	AB	wo	01/05873		Zalipsky et. al.	01/25/2001		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
Examiner's Initials*	Cite No.1	Include name of the author (in CAPITOL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				

Examiner	Date	
Signature	Considered	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 8 Applicant is to place a check mark here if English language Translation is attached.

AUG 1 7 2007

SUBMISSION UNDER MPEP 609.06

Application Number	10/789,489
Filing Date	February 26, 2004
First Named Inventor	Zalipsky et. al.
Group Art Unit	1615
Examiner Name	T.K. Thurman
Attorney Docket Number	ALZ 5015 USR1

Page 1 of 1

U.S. PATENT DOCUMENTS						
			U.S. Patent Docume	nt	2	
Examiner Initials	Cite No. <sup>1</sup>	Name of Patentee or Applicant of Cited Document	Number	Kind Code <sup>2</sup> (if known)	Pages, Columns, Lines, where relevant passages or relevant figures appear	
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## FOREIGN PATENT DOCUMENTS

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	<u> </u>	OTHER PRIOR ART - N	ON PATENT	LITERATUR	RE DOCUMEN	ITS	
Examiner 's Initials*	's No.1 volume-issue number(s), publisher, city and/or country where published						T²
		International Search Report dated 02/20	6/2004, for co	orresponding	Appln. No. PC	T/US2004/006039	
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Examiner	,	Date	· ·
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